

Early Detection of Alzheimer's Disease-Related Pathology Using a Multi-Disease Diagnostic Platform Employing Autoantibodies as Blood-Based Biomarkers

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Abstract.

Background: Evidence for the universal presence of IgG autoantibodies in blood and their potential utility for the diagnosis of Alzheimer's disease (AD) and other neurodegenerative diseases has been extensively demonstrated by our laboratory. The fact that AD-related neuropathological changes in the brain can begin more than a decade before tell-tale symptoms emerge has made it difficult to develop diagnostic tests useful for detecting the earliest stages of AD pathogenesis.

Objective: To determine the utility of a panel of autoantibodies for detecting the presence of AD-related pathology along the early AD continuum, including at pre-symptomatic [an average of 4 years before the transition to mild cognitive impairment (MCI)/AD]], prodromal AD (MCI), and mild-moderate AD stages.

Methods: A total of 328 serum samples from multiple cohorts, including ADNI subjects with confirmed pre-symptomatic, prodromal, and mild-moderate AD, were screened using Luminex xMAP[®] technology to predict the probability of the presence of AD-related pathology. A panel of eight autoantibodies with age as a covariate was evaluated using randomForest and receiver operating characteristic (ROC) curves.

Results: Autoantibody biomarkers alone predicted the probability of the presence of AD-related pathology with 81.0% accuracy and an area under the curve (AUC) of 0.84 (95% CI=0.78–0.91). Inclusion of age as a parameter to the model improved the AUC (0.96; 95% CI=0.93–0.99) and overall accuracy (93.0%).

¹Data used in preparation of this article were obtained from the Alzheimer's Disease Neuroimaging Initiative (ADNI) database (<https://adni.loni.usc.edu>). As such, the investigators within the ADNI contributed to the design and implementation of ADNI and/or provided data but did not participate in analysis or writing of this report. A complete listing of

ADNI investigators can be found at: http://adni.loni.usc.edu/wp-content/uploads/how_to_apply/ADNI_Acknowledgement_List.pdf

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Conclusion: Blood-based autoantibodies can be used as an accurate, non-invasive, inexpensive, and widely accessible diagnostic screener for detecting AD-related pathology at pre-symptomatic and prodromal AD stages that could aid clinicians in diagnosing AD.

Keywords: Alzheimer's disease, antibody, autoantibodies, biomarkers, blood-based biomarkers, diagnostics, early diagnosis, mild cognitive impairment

INTRODUCTION

Alzheimer's disease (AD) is a devastating, neurodegenerative disease affecting roughly 6 million people in the US [1–4]. AD-related neuropathological changes are known to begin a decade or more before emergence of hallmark symptoms [1, 4–10], making early diagnosis a challenge. This implies that, by the time tell-tale symptoms emerge and prompt neuropsychological assessments and brain imaging that can aid in diagnosing AD, a considerable amount of brain devastation may already have occurred, making it difficult to slow, stop, or potentially reverse the disease with available therapeutics. Current treatments at best only temporarily alleviate some symptoms, but do not modify pathology or disease progression, although the main target thus far has been to block amyloid- β ($A\beta$) deposition and thus amyloid plaque formation in the brain [11, 12]. It is critical that disease-modifying AD therapeutics, as they emerge from the pharma pipeline, can be administered as early as possible along the AD continuum, preferably at some point during the long pre-symptomatic phase, to curtail the progression of neurodegeneration and favor a successful outcome. Although many potential diagnostic tests for AD are under development, only one test requiring a cerebrospinal fluid sample obtained via spinal puncture has been approved by the FDA, and no FDA-approved blood or laboratory tests for AD yet exist that can provide a diagnosis during pre-symptomatic and prodromal (mild cognitive impairment, MCI) stages of AD. The development of accurate, noninvasive, blood-based diagnostic tests for early AD detection and monitoring for use in primary care or other front-line settings is essential to implement early treatment. Such an advancement would enable tracking of AD neuropathological and cognitive progression, make possible earlier participation in clinical trials, and inform interventions to combat this highly prevalent disease of the elderly.

The last decade has seen a surge in research aimed at developing a definitive blood test for early detection of AD. Traditional methods to diagnose AD most

often involve a clinical judgement made by weighing data derived from some combination of patient history, a wide variety of simple or more extensive neuropsychological screeners and tests, diagnostic imaging, and cerebrospinal fluid (CSF) analyses of various biomarkers, such as $A\beta_{42}$ and $A\beta_{40}$, total tau, and various forms of phosphorylated tau (pTau) [13–22]. While some of these methods are considered “gold standards” for AD diagnosis, particularly low CSF $A\beta_{42}$ levels for patients at MCI and amyloid PET imaging for patients at later stages of MCI and mild AD dementia, they are expensive, invasive, require highly skilled personnel to perform and evaluate these tests, and are largely inaccessible to most people throughout the world. Recently, the FDA approved the first *in vitro* diagnostic test for early detection of amyloid plaques in CSF associated with AD, intended for use in patients aged 55 years and older with cognitive impairment who are being evaluated for AD and other potential causes of cognitive decline [23–25].

Physicians are well-aware of the need for a simple, non-invasive, and inexpensive blood test to diagnose AD. Recent advancements in blood-based AD diagnostics have brought exciting potential tests to the field that involve measurements of the $A\beta_{42}/A\beta_{40}$ concentration ratio, a conformational variant of U-p53 and detection of phosphorylated versions of tau proteins, such as pTau181 and pTau217, and neurofilament light (NfL) [22, 26–34]. While these tests represent important advancements and provide a promising direction for the field of AD diagnostics, some bypass the long pre-symptomatic phase and are limited to later symptomatic stages (prodromal and more advanced stages along the AD continuum). Thus, there remains a need for a simple, non-invasive, and inexpensive blood test to diagnose AD at the earlier stages through detection of early AD-related neuropathological processes.

Nearly a decade ago, in a study of sera of 166 individuals using human protein microarrays, we showed that nearly all possessed many thousands of IgG autoantibodies (aABs) in their blood, prompting the suggestion that the function of this newly

discovered aAB system is to clear debris from the blood and lymph on a day-to-day basis [35, 36]. Evidence in support of this function comes from two observations. First, in overall healthy people, individual aAB profiles can be remarkably stable, sometimes over a period of many years [37]. Second, certain aABs are selectively increased in the blood in response to the presence of disease and, importantly, these increases were consistently observed in people with the same disease. These findings led us to propose that the presence of disease triggers consistent, disease-associated changes in aAB profiles that reflect disease-associated changes in the debris profile exhibited in the blood as a result of ongoing pathological changes. Further, we speculated that detection of disease-associated increases in levels of autoantibodies in blood could be used to diagnose multiple diseases at early-stages, perhaps even before people are aware of their disease. To test this possibility, we initially used human protein microarrays to demonstrate that increased expression of certain aABs in the blood and CSF has diagnostic utility as highly accurate, sensitive, and specific biomarkers of the pathological processes associated with neurodegenerative diseases, including prodromal AD (MCI due to AD) with low CSF A β ₄₂ levels, mild-moderate AD dementia, both early-stage and mild-moderate Parkinson's disease (PD), and multiple sclerosis [35, 36, 38–42].

More recently, additional research and development has led to the migration of our assay to a more feasible, high throughput, Luminex magnetic bead-based platform. In the present study, we sought to establish proof-of-principle for a new multiplex blood test involving the use of a small panel of aABs as blood-based biomarkers for detection of early AD-related neuropathological processes. This test includes a previously identified panel of eight aAB biomarkers, five derived from studies on prodromal AD (MCI) participants in the Alzheimer's Disease Neuroimaging Initiative (ADNI) with confirmed low CSF A β ₄₂ levels, indicating a high likelihood of ongoing brain amyloidosis and eventual progression to AD dementia, and three derived from mild-moderate AD participants from ADNI. Our objective was to determine the overall accuracy and utility of this test for the blood-based detection of AD-related neuropathological processes in individuals at pre-symptomatic, prodromal, and more advanced stages of AD. Results demonstrate that increased levels of these eight disease-associated autoantibodies in the blood are useful as diagnostic

biomarkers of the presence of AD-related pathology, distinguishing not only subjects with prodromal or more advanced stages of AD from non-AD controls, but also individuals at the pre-symptomatic stage of AD (i.e., cognitively normal individuals without subjective cognitive or memory decline who transitioned several years later to confirmed prodromal and later AD stages) with high overall accuracy, sensitivity, and specificity.

METHODS

Study population

We obtained banked serum samples from independent cohorts collected from participants enrolled in clinical studies [ADNI, New Jersey Institute for Success Aging's (NJISA) Memory and Aging Program (MAP), and the Parkinson's Study Group] and from commercial sources. Serum from 64 confirmed pre-symptomatic AD participants, 71 with MCI due to AD with confirmed low CSF A β ₄₂ levels, and 24 with mild or moderate AD dementia were obtained from ADNI. Twenty-six additional MCI and 7 AD patient samples were obtained from the NJISA MAP Program (Stratford, NJ). Sera from 106 healthy, non-demented control subjects were obtained from Reprocell USA Inc. (Beltsville, MD). Twelve early-stage PD samples were obtained from the Parkinson's Study Group (Boston, MA). Eighteen stage 0–2 breast cancer serum samples were obtained from Asterand Bioscience, Inc. (Detroit, MI). Cohort descriptions can be found in the Supplementary Methods. All blood samples were handled using standard procedures. Demographic characteristics of the study population are listed in Table 1. The use of serum samples in this study was approved by the Rowan University Institutional Review Board (Pro2016001175 and Pro2012002275).

Pre-analytical serum processing

Blood collection and serum pre-processing was similar among all cohorts. ADNI, Durin Technologies Inc., Reprocell, and Parkinson's Study Group blood samples were collected in red top tubes (BD 367820), allowed to sit at room temperature for at least 15 min to clot, centrifuged, aliquoted, and frozen at -80°C . Asterand Bioscience Inc. samples were collected in red tiger top serum separator tubes (BD 367985), allowed to sit at room temperature for at least 30 minutes to clot, centrifuged, aliquoted, and

Table 1
Subject demographics

	Case Demographics (n = 192)				
	ADNI Preclinical AD (n = 64)	ADNI Prodromal AD (MCI) (n = 71)	ADNI Mild- moderate AD (n = 33)	Other Cohort MCI/AD (n = 24)	All Cases (n = 192)
Age Avg. (Std. Dev.)	76 (±6)	73 (±8)	74 (±7)	75 (±9)	75 (±7)
Sex (Male %)	59.4%	54.9%	30.3%	58.3%	52.6%
Ethnicity					
-Asian (%)	1.6%	4.2%	0.0%	NA	2.5%
-Black (%)	7.8%	1.4%	0.0%	NA	3.8%
-Hispanic (%)	1.6%	2.8%	0.0%	NA	1.9%
-White (%)	89.1%	91.5%	100.0%	100.0%	91.8%
ApoE Proteotype					
-E2/E3 (%)	6.3%	1.4%	0.0%	NA	3.1%
-E2/E4 (%)	3.1%	0.0%	0.0%	NA	1.3%
-E3/E3 (%)	54.7%	29.6%	29.2%	NA	39.6%
-E3/E4 (%)	29.7%	53.5%	41.7%	NA	42.1%
-E4/E4 (%)	6.3%	15.5%	29.2%	NA	13.8%
MMSE Avg. (Std. Dev.)	29 (±1)	27 (±2)	24 (±2)	NA	27 (±2)
CSF Aβ ₄₂ Avg. (Std. Dev.)	182 (±56)	135 (±32)	141 (±45)	NA	152 (±48)
CSF Tau Avg. (Std. Dev.)	78 (±35)	119 (±53)	108 (±42)	NA	104 (±49)
CSF pTau Avg. (Std. Dev.)	31 (±17)	44 (±15)	38 (±12)	NA	39 (±17)
	Control Demographics (n = 136)				
	Cognitively Normal Control (n = 106)	Non- neurodegenerative Control - Breast Cancer	Neurodegenerative Control - PD (n = 18) (n = 12)	All Controls (n = 136)	
Age Avg. (Std. Dev.)	56 (±12)	47 (±6)	60 (±9)	55 (±11)	
Sex (Male %)	50.9%	0.0%	33.0%	42.6%	
Ethnicity (White)	100.0%	100.0%	100.0%	100.0%	

The number of individuals (n), age, gender, and race are listed for each case and control group. For ADNI samples, ApoE proteotype, MMSE, and CSF Aβ₄₂, tau, and pTau are included as additional data.

frozen at -20°C or cooler. Additional processing information for each sample cohort can be found in the Supplementary Methods section.

Antigens

The following recombinant human antigens were coupled to Luminex xMAP® Microspheres: a custom made IGL-MGC31944 (Custom R&D/Biotechne), HSH2D (Custom R&D/Biotechne), GCDH (MyBioSource - Catalog #MBS8249095), CCL19 (MyBioSource - Catalog #MBS203647), LGALS1 (Galectin-1) (Novus - Catalog #NBP2-76255), DNAJC8 (Novus - Catalog #H00022826-P01), ICAM-4 (Abnova - Catalog #H00003386-G01), and a recombinant Rabbit Anti-Human Kappa Light Chain antibody (Abcam - Catalog #ab195576) (Table 2). Proteins with buffers incompatible with the coupling chemistry were washed in 1xPBS and concentrated using protein concentrators (Pierce - Catalog #88516) before coupling.

Table 2
Panel of eight AD-related aAB biomarkers

Database ID	Protein Name
BC022098.1	cDNA clone MGC:31944 IMAGE:4878869 (IGL-MGC31944)
NM_032855.1	hematopoietic SH2 domain containing (HSH2D)
NM_006274.3	chemokine (C-C motif) ligand 19 (CCL19)
NM_000159.4	Glutaryl-Coenzyme A dehydrogenase, nuclear gene encoding mitochondrial protein, transcript variant 1 (GCDH)
NM_002305.4	Lectin, galactoside-binding, soluble, 1 (galectin 1) (LGALS1)
NM_014280.3	DnaJ homolog subfamily C member 8 (DNAJC8)
NM_001544.5	Intercellular adhesion molecule 4 (Landsteiner-Wiener blood group) transcript variant 1 (ICAM4)
n/a	Anti-Human Kappa Light Chain Antibody

Database identifiers and descriptions of the eight AD-related aAB biomarkers.

Microsphere-antigen coupling

Microsphere-antigen coupling was carried out using the Luminex xMAP® Antibody Coupling

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(AbC) Kit (40-50016) according to manufacturer's recommendations. All antigenic proteins were coupled at 25pmol/million beads. Coupled beads corresponded to Luminex xMAP[®] bead regions 12 (IGL-MGC31944/BC022098.1), 18 (HSH2D), 29 (Anti-Kappa), 33 (GCDH), 36 (CCL19), 44 (LGALS1), 46 (DNAJC8), and 48 (ICAM4). Antigen coupling was confirmed by testing serial dilutions of an in-house control human serum standard and/or antigen-specific antibodies.

Assay procedure

2,500 beads/region were combined with 50 μ l bead mix in each well of a Costar 96 Well Plate (Catalog #3912). 50 μ l of participant serum, diluted 1/50 in phosphate-buffered saline (PBS TBN), was added to each well and mixed for 30 min at 37°C with shaking on an Eppendorf Thermomixer FP at 650 rpm. Samples were washed 3x with 80 μ l PBS-TBN using a BioTek 405 TS plate washer. 100 μ l of Phycoerythrin (PE) antibody (0.5 mg/ml) was added to each well and incubated for 20 min at 37°C with shaking. Samples were again washed 3x with 80 μ l of PBS-TBN, resuspended in 100 μ l PBS-TBN, and analyzed using a Luminex FlexMap3D instrument with count volume set to 50 μ l and the minimum bead count set at 50. All samples were run in duplicate and averaged to obtain final working values. Samples with a Coefficient of Variation (CV%) greater than 15% were discarded. Final inter- and intra-assay CV% were calculated at 10.4% and 4.9%, respectively.

Statistical and graphical analysis

AD and healthy non-cognitively impaired control subjects were randomly split into Training and Testing Sets such that both sets contained participants of roughly equal age and sex distribution. All PD and breast cancer subjects were relegated to the Training Set. The Training Set consisted of 34 pre-symptomatic AD, 37 MCI, and 13 mild and moderate AD from ADNI, 12 MCI and 6 AD from the NJISA MAP cohort, 52 non-demented controls, as well as 12 PD and 18 breast cancer samples to represent neurodegenerative and non-neurodegenerative disease controls, respectively. The remaining samples were relegated to the Testing Set and included 30 pre-symptomatic AD, 34 MCI, 11 mild and moderate AD from ADNI, 14 MCI and 1 AD from the NJISA MAP cohort, and 54 non-demented controls. Sample grouping between the Training and Testing Sets can be found in Supplementary Figure 1.

The predictive probability model using eight biomarkers (cDNA clone MGC:31944 IMAGE: 4878869, HSH2D, GCDH, CCL19, LGALS1, ICAM4, DNAJC8, anti-IgG Kappa light chain antibody) and age as a covariate for all stages of AD represented was developed and optimized using only subjects from the Training Set and randomForest; no testing datasets were used to tune hyperparameters or optimize the final RF predictive model in any way (*RF*; v 4.6–10) in *R* (v 4.0.0) (The R Foundation for Statistical Computing, <https://www.rproject.org/>) [43]. The final model derived from the Training Set subjects was used to predict the probability of AD-related pathology in the Testing Set subjects. This probability was reported as the Alzheimer's disease probability score (ADPS). An overview of the process can be found in Supplementary Figure 2. Receiver operating characteristic (ROC) curves were calculated using *R* packages *ROCR* (v 1.0–11) and *pROC* (v 1.1.18) [44], and the probability of being disease-positive is reported as a function of ROC sensitivity and specificity for each model. Additional *R* packages used in data analysis and visualization included *ggplot2* (v.3.3.6), and *epiR* (v 2.0.52).

Calculation of the Alzheimer's disease probability score

Samples in each of the Testing Sets were classified as either AD or a control using a percent probability output ranging from 0–100, known as the Alzheimer's disease probability score (ADPS). The ADPS represents the fraction of trees in the forest that vote for a certain class (i.e., AD or control). Using the ADPS, classification as either AD or control was based on a specific cutoff threshold derived using ROC curves to determine the optimal cutoff value corresponding to the largest Youden's J Statistic (sensitivity + specificity – 1). All samples with a probability score above the threshold were classified as AD, and all samples falling below the threshold were classified as controls.

RESULTS

Serum IgG aAB biomarkers can detect AD-related pathology in patients with pre-symptomatic, prodromal, and more advanced AD

Our previous studies using human protein microarrays described a small group of aAB biomarkers that could be used in an assay to identify patients

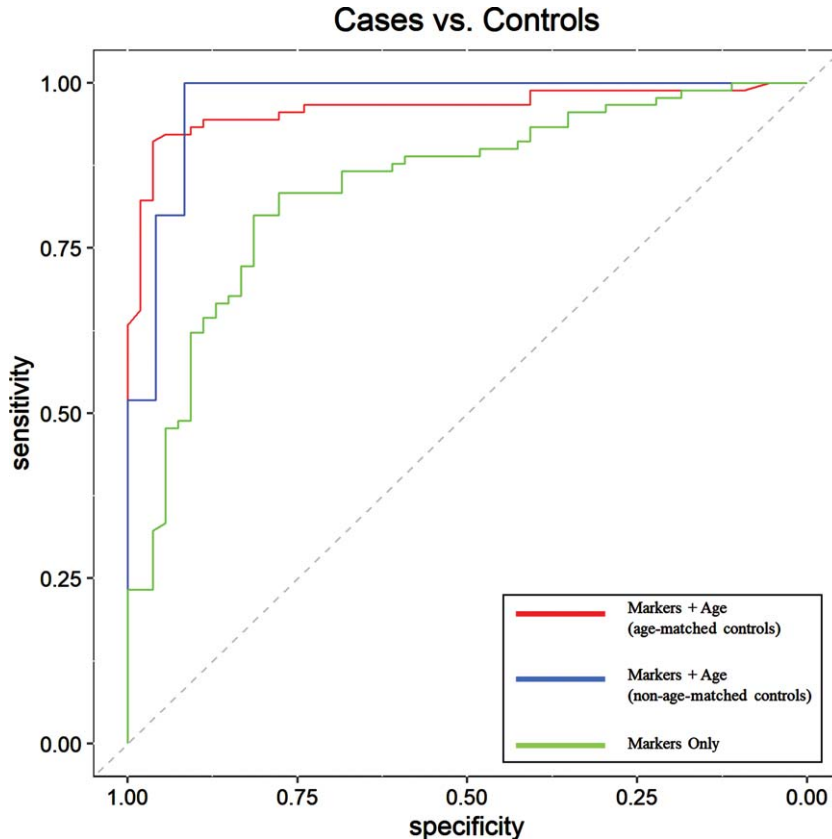


Fig. 1. Receiver Operating Characteristic (ROC) curve assessment of aAB biomarkers for detection of AD-related pathology in Testing Set subjects; cases (pre-symptomatic, prodromal, and mild-moderate AD) ($n = 90$) versus cognitively normal controls ($n = 54$) when used alone (green line), with age as an additional parameter (blue line) in a group with non-age-matched controls, and with age as an additional parameter with a more closely age-matched control group (red line). Results show that inclusion of age as an additional parameter significantly increases overall diagnostic accuracy and, thus, the overall utility of the test. The dashed line represents the line of no discrimination. The ROC area under the curve (AUC), sensitivity, specificity, PPV, NPV, and overall accuracy values are shown in Table 3.

339 with prodromal AD (MCI), confirmed with low CSF
 340 $A\beta_{42}$ levels, with high overall accuracy [40]. The
 341 latter is consistent with the presence of brain amy-
 342 loidosis and a high likelihood of later progression
 343 to AD [17, 45–47]. Here, we migrated this assay to
 344 the Luminex magnetic bead platform, and utilized
 345 a panel of eight previously identified blood-borne
 346 IgG aAB biomarkers comprising four prodromal
 347 AD (MCI) biomarkers (cDNA clone MGC:31944
 348 IMAGE: 4878869, HSH2D, GCDH, CCL19), three
 349 mild-moderate AD biomarkers (LGALS1, ICAM4,
 350 DNAJC8) from our earlier studies (Table 2), as well
 351 as an anti-IgG Kappa light chain antibody to mea-
 352 sure individual IgG levels [38, 40]. Our goal was to
 353 determine if we could distinguish patients at mul-
 354 tiple points along the early AD continuum from
 355 non-demented controls in a single test. This study
 356 had 328 participants, including 64 cognitively normal
 357 participants who later progressed to MCI/AD (here

referred to as pre-symptomatic AD), 71 with prodromal
 358 AD (MCI), and 24 with mild-moderate AD, all
 359 from ADNI, along with 33 MCI/AD sera obtained
 360 from another memory clinic (NJISA MAP cohort)
 361 and 106 non-demented controls. Relative levels of
 362 the aAB biomarkers in sera were measured using a
 363 customized Luminex xMAP[®] magnetic bead assay.
 364 Samples were separated into Training and Testing
 365 Sets, each containing roughly equal numbers of sam-
 366 ples from patients spanning multiple stages of AD
 367 as well as non-demented controls, and were evalu-
 368 ated for the presence of AD-related pathology using
 369 randomForest (RF). Additionally, the Training Set
 370 contained 12 early-stage PD samples as neurode-
 371 generative controls, and 18 breast cancer samples as
 372 non-neurodegenerative controls in the total control
 373 group to aid in the development of the diagnostic
 374 model for detection of early AD-related pathological
 375 processes.
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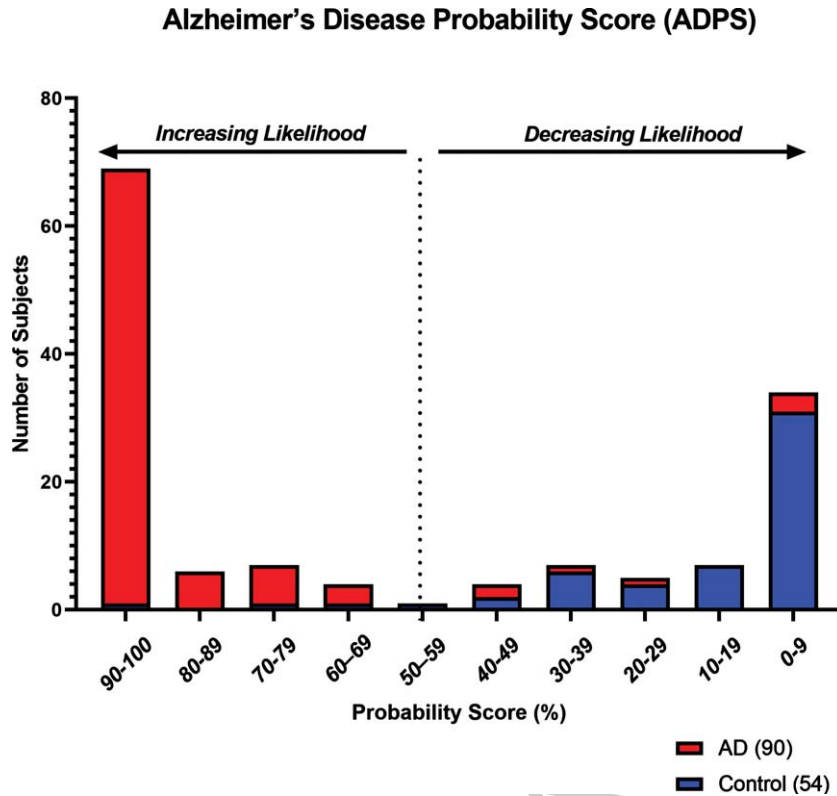


Fig. 2. Histogram showing the distribution of Alzheimer's Disease Probability Scores (ADPS) in Testing Set subjects ($n = 144$) for increasing or decreasing likelihood of the presence of AD-related pathology. Based on a scale of 0–100, a score of 56 or greater indicates a higher likelihood of the presence of AD-related pathology, while a score of 55 or lower indicates a reduced likelihood.

377 Using *RF* to evaluate Training Set samples
 378 ($n = 184$; 102 cases, 82 controls), a diagnostic model
 379 was created utilizing the eight selected biomarkers
 380 alone, with an out-of-bag (OOB) error of 22.3%.
 381 This model was then applied to Testing Set sub-
 382 jects to determine the overall classification accuracy.
 383 Subjects in the Testing Set ($n = 144$; 90 cases, 54
 384 controls), which included pre-symptomatic, prodromal,
 385 and mild-moderate AD subjects as cases as well
 386 as healthy, non-demented controls, were classified
 387 as either positive for AD-related neuropathological
 388 processes or negative (controls), with an overall clas-
 389 sification accuracy of 81.0%, sensitivity of 80.0%,
 390 specificity of 81.0%, positive predictive value (PPV)
 391 of 88.0%, and a negative predictive value (NPV)
 392 of 71.0%, indicating that aAB biomarker levels are
 393 concordant with the presence of ongoing AD-related
 394 pathology as was confirmed in the ADNI cohort. The
 395 diagnostic utility of this panel of eight AD biomarkers
 396 alone was also evaluated using ROC curve analy-
 397 sis of Testing Set subjects (Fig. 1). The ROC area
 398 under the curve (AUC) for this comparison was 0.84
 399 (95% CI=0.78–0.91). Diagnostic sensitivity, speci-

400 ficity, PPV, and NPV for the AD biomarkers when
 401 used alone to evaluate Testing Set subjects can be
 402 found in Table 3.

403 *Inclusion of age as a covariate improves model* 404 *performance and detection of AD-related* 405 *pathological processes*

406 Age has been a long-established risk factor for
 407 AD [48]. Here, we examined whether adding sub-
 408 ject age as a covariate in *RF* analysis significantly
 409 improved model performance and overall diagnostic
 410 accuracy. Addition of age as a continuous variable
 411 was found to improve the diagnostic model, result-
 412 ing in a decrease of the OOB error from 22.3% to
 413 8.2%. Overall accuracy in the Testing Set subjects
 414 was improved from 81.0% to 93.0%, and the ROC
 415 AUC from 0.84 to 0.96 (95% CI=0.93–0.99), and
 416 had a sensitivity of 92.0%, specificity of 94.0%, PPV
 417 of 97.0%, and NPV of 88.0% (Table 3). ROC AUC
 418 comparisons with the addition of age as a covariate
 419 are shown in Fig. 1. Furthermore, using *RF* analy-
 420 sis, an ADPS ranging from 0–100 was calculated for

Table 3

Diagnostic utility (Testing Set subjects only) of the 8 autoantibody biomarkers alone, and with age as a covariate for predicting the probability of the presence of AD-related pathology in cases compared to controls

Testing Set Subjects	<i>n</i>	Threshold	AUC (95% CI)	Sensitivity (95% CI)	Specificity (95% CI)	PPV % (95% CI)	NPV % (95% CI)	Accuracy %
Markers + Age (age-matched controls)	49	0.65	0.97 (0.93,1)	1 (0.87,1)	0.92 (0.74,0.98)	0.93 (0.77,0.98)	1 (0.85,1.00)	96.0
Markers + Age (non-age-matched controls)	144	0.56	0.96 (0.93,0.99)	0.92 (0.85,0.96)	0.94 (0.85,0.98)	0.97 (0.90,0.99)	0.88 (0.77,0.94)	93.0
Markers	144	0.48	0.84 (0.78,0.91)	0.80 (0.71,0.87)	0.81 (0.69,0.90)	0.88 (0.79,0.93)	0.71 (0.59,0.81)	81.0

Area under the curve (AUC) values at 95% confidence were generated using ROC curve analysis. Threshold values were derived using ROC curves to find the optimal cutoff value corresponding to the largest Youden's J Statistic. Overall accuracy, sensitivity, specificity, PPV, and NPV are derived from probability data with 95% confidence intervals generated using the Wilson score method for binominal proportions.

421 predicting the likelihood of the presence of ongoing
422 AD-related pathology as indicated by our panel of
423 eight biomarkers and accompanying age covariate
424 data. Based on this model, a score of 56 or greater
425 indicates a higher likelihood for the presence of AD-
426 related pathological processes, while a score of 55 or
427 lower indicates a reduced likelihood. The probability
428 score distribution for Testing Set subjects is shown in
429 Fig. 2.

430 *Performance of the aAB biomarker panel in an* 431 *age-matched cohort*

432 Due to the progressively increasing prevalence of
433 AD in aging adults, as well as the fact that neurode-
434 generative changes associated with this disease can
435 begin up to two decades before the onset of clinical
436 symptoms, the task of identifying healthy and
437 appropriately age-matched control subjects lacking
438 early stages of AD pathology can be fraught with
439 potential error [49, 50]. This is particularly prob-
440 lematic for tests that are highly sensitive. In our
441 Testing Set described above, we purposely used a
442 control population that was roughly twenty years
443 younger than our AD sample population to minimize
444 the likelihood of the presence of early AD-related
445 pathological changes in the controls. To demonstrate
446 that our chosen aAB panel is not simply classify-
447 ing patient samples largely based on age, we tested
448 a closer age-matched control population by creating
449 an additional Testing Set utilizing control samples
450 from our original Testing Set that were obtained from
451 individuals aged 60 years and older. Subjects in this
452 new age-matched Testing Set ($n=49$; 25 cases, 24
453 controls) included pre-symptomatic, prodromal, and

454 mild-moderate AD samples with an average age of
455 71 as well as healthy, non-demented controls with an
456 average age of 66. These samples were classified as
457 either positive for AD-related pathology or controls
458 using our panel of eight aAB biomarkers and age
459 as a covariate, with an overall classification accu-
460 racy of 96.0%, sensitivity of 100.0%, specificity of
461 92.0%, PPV of 93.0%, and NPV of 100.0% (Table 3).
462 This demonstrates the high sensitivity and speci-
463 ficity of our biomarker panel when used with closely
464 age-matched subjects, with results comparable to the
465 overall accuracy obtained using the non-age-matched
466 Testing Set described above. The diagnostic utility
467 of these biomarkers was also evaluated using ROC
468 curve analysis (Fig. 1). The ROC area under the
469 curve (AUC) for this comparison was 0.97 (95%
470 CI = 0.93–1).

471 *aAB biomarkers can detect the presence of* 472 *AD-related pathology in prodromal and later* 473 *stages of AD*

474 To further confirm the utility of our panel of eight
475 biomarkers in accurately detecting early stages of
476 ongoing AD-related pathological processes as well
477 as later stages, we evaluated how many prodromal
478 AD subjects with low CSF A β ₄₂ levels and
479 mild-moderate AD samples in the Testing Set were
480 correctly classified compared to controls. Using *RF*
481 logic derived from Training Set samples based on
482 our chosen aAB biomarkers and age covariate, 31
483 of 34 prodromal and all 11 mild-moderate ADNI AD
484 samples were correctly classified. Additionally, 10 of
485 13 prodromal and 2 of 2 mild-moderate AD subjects
486 from an additional cohort, the Memory Assessment

Program at the New Jersey Institute for Successful Aging, were also correctly classified using the same strategy. This data suggests that our overall diagnostic strategy of including eight aAB biomarkers plus age as a covariate is robust, correctly identifying 87.2% of all prodromal AD and 100% of mild-moderate AD subjects across two independent cohorts with high overall accuracy, sensitivity, and specificity. Importantly, sera from all prodromal AD participants obtained from ADNI came from individuals with low CSF A β ₄₂ levels, consistent with the presence of ongoing early-stage brain amyloidosis, a hallmark pathological feature of early stages of AD [51].

aAB biomarkers detect the presence of early AD-related pathological processes in subjects with confirmed pre-symptomatic AD

ADNI criteria of pre-symptomatic AD include those who initially enrolled as cognitively normal participants, but who several years later had transitioned to confirmed MCI due to AD or more advanced stages of AD dementia. ADNI criteria for normal controls include a) the absence of subjective cognitive concerns that are not due to the normal aging process, b) within normative expectation performance on cognitive screeners (MMSE and CDR) and tests (Logical Memory) (see <https://adni.loni.usc.edu/methods/documents/> for cut-off scores), and c) no report of functional decline. We next asked if our diagnostic strategy, using the same panel of eight aAB biomarkers along with age as a covariate, was sensitive enough to detect the presence of ongoing AD-related pathology at an even earlier pathological stage, i.e., before the onset of observable clinical symptoms. To address this, we obtained sera from 64 ADNI participants at or near baseline who were originally diagnosed as cognitively normal based on neuropsychological assessments and normal CSF A β ₄₂ levels, but who later transitioned to either prodromal AD or a more advanced mild-moderate AD. We classified these participants as pre-symptomatic AD, and individuals in this group transitioned from cognitively normal to a diagnosis of MCI due to AD within an average of 48.3 months (median=47.5 months) after entry into the study as cognitively normal controls. Again, using the *RF* logic derived from Training Set samples based on our eight chosen aAB biomarkers and the age covariate, 29 of 30 pre-symptomatic ADNI participants in the Testing Set were correctly

identified as having AD pathology, demonstrating a 96.6% sensitivity for pre-symptomatic detection of AD-related pathological processes (Table 4).

DISCUSSION

Using sera from ADNI participants and other cohorts, we examined the utility of eight selected IgG aABs; a combination of four prodromal AD (MCI) biomarkers, three mild-moderate AD biomarkers, and an anti-IgG Kappa light chain antibody, for detecting early AD-related pathology at pre-symptomatic, prodromal, and mild-moderate AD stages using a Luminex magnetic bead-based system. Most of these aAB biomarkers were selected based on their performance in previous biomarker discovery studies using human protein microarrays carried out on sera obtained from clinically well-characterized participants at prodromal and mild-moderate AD stages obtained from ADNI and Analytical Biological Systems, Inc. [38, 40]. In the ADNI cohort, the presence of early AD-related pathological processes and a diagnosis of prodromal AD (MCI) was confirmed via low CSF A β ₄₂ levels, extensive neuropsychological assessments, brain imaging, and a consensus diagnosis by ADNI investigators [40]. In the present study, additional testing of these eight aABs resulted in four main findings. First, this aAB panel identified individuals with prodromal AD and mild-moderate AD as positive for AD-related pathology and distinguished them from cognitively normal controls with high overall accuracy. Second, inclusion of age as a covariate significantly improved overall diagnostic performance at all disease stages tested. Third, the panel of aABs used also achieved detection of AD-related pathology with high overall accuracy in pre-symptomatic AD participants who originally enrolled in ADNI as cognitively normal controls, but a few years later transitioned to prodromal or more advanced AD with confirmed AD pathology.

Pre-symptomatic and prodromal AD have been particularly difficult to diagnose using current methods [9, 10, 52]. Blood-based initial screeners potentially provide an ideal and cost-effective solution for a multi-step diagnostic process that would enable a more targeted and strategic use of the more expensive and invasive CSF or PET biomarker procedures [53–56]. Some of the blood-based biomarkers under development for early diagnosis of AD include

Table 4

Breakdown of the probability score analysis in the Testing Set subjects using the panel of eight aAB biomarkers and age covariate in each AD-related pathological group and the non-demented control group

Testing Set Subjects	<i>n</i>	ADNI Pre-symptomatic	ADNI MCI	ADNI MMAD	NJISA MAP MCI	NJISA MAP AD	NDC
Correctly Classified							
Markers + Age (age-matched controls)	49	7/7	11/11	2/2	4/4	1/1	22/24
Markers + Age (non-age-matched controls)	144	29/30	31/34	11/11	10/13	2/2	51/54
Markers	144	23/30	29/34	11/11	8/13	2/2	45/54

detection of A β _{42/40} ratios, NfL, total tau, pTau181, pTau217, neurogranin, and aABs [29, 40, 42, 53–55, 57–59]. Many of these are showing great promise, but large-scale verification studies using standardized sample collection, storage and processing protocols, and clinically well-characterized participants are needed.

Our previous biomarker discovery studies leveraged human protein microarray technology to identify unique and consistent disease-associated changes in aAB profiles in patients with AD, PD, multiple sclerosis, psychosis, and early-stage breast cancer [35–42, 60]. For example, we found that a panel of four aAB biomarkers can readily distinguish subjects with early-stage PD from matched controls with an accuracy of 87.9% ($n=398$ overall; sensitivity = 94.1%, specificity = 85.5%) [36]. We also studied 236 participants, including 50 with prodromal AD from ADNI confirmed via low CSF A β ₄₂ levels, neuropsychological evaluations, CSF biomarkers, and MRI and PET imaging data [46, 61], and we developed an initial panel of 10 prodromal aAB biomarkers capable of differentiating prodromal AD from non-AD controls (accuracy = 98%) with a high level of disease specificity [40].

In the present study, we tested the accuracy and utility of eight aAB biomarkers, using sera obtained from 328 individuals, for the detection of early AD-related pathological processes at pre-symptomatic, prodromal, and mild-moderate AD stages using the Luminex magnetic bead-based platform. Measurements of relative aAB levels in combination with age improved overall diagnostic accuracy in Testing Set subjects to 93.0%, and the ROC AUC to 0.96 (95% CI = 0.93–0.99). This suggests that the additional information relevant to the probability of the presence of AD-related pathology provided by inclusion

of the age covariate adds to the baseline probability information provided by serum aABs alone.

A key finding reported here is that the same panel of eight aAB biomarkers, along with age as a covariate, detected the presence of early AD-related pathological changes at the pre-symptomatic AD stage. Here, we tested sera from 64 ADNI participants who were originally diagnosed as cognitively normal based on neuropsychological assessments and normal CSF A β ₄₂ levels, but later transitioned within an average of 48.3 months to either prodromal AD or a more advanced mild-moderate AD. Using the same eight aAB biomarkers, the locked *RF* logic derived from Training Set samples and the age covariate, 29 of 30 (96.6%) pre-symptomatic ADNI AD participants in the Testing Set were correctly identified. To our knowledge, this is the first blood test to accurately identify pre-symptomatic AD participants several years before the onset of clinical symptoms.

Our ability to detect the presence of AD-related pathological processes pre-symptomatically in subjects initially lacking the low CSF A β ₄₂ levels, as seen in prodromal AD subjects, suggests that serum aAB biomarker levels increase before CSF A β ₄₂ levels fully drop to the low levels typical for MCI due to AD. Although it is possible that elevation of aAB biomarker levels may occur during initial phases of this downward trend in CSF A β ₄₂ levels, we cannot eliminate the possibility that aAB biomarker levels may be reflecting different aspects of ongoing AD-related pathology. The fact that the same aAB biomarkers worked well for identifying pre-symptomatic, prodromal, and mild-moderate disease stages when we combined patients at different stages of the disease into a single large group supports a scenario where it is not necessary to establish independent cutoff values for each cohort or stage of the disease. This moves us closer to the goal of a single

662 test that can detect the presence of AD-related pathol- 714
663 ogy within a relatively broad range of the early AD 715
664 continuum. 716

665 This study has a number of strengths. The first is 717
666 that it describes a blood-based diagnostic approach 718
667 using a single panel of eight aABs as blood-based 719
668 biomarkers, independent of symptoms, that can be 720
669 used to detect early AD-related pathological pro- 721
670 cesses at multiple recognized stages along the AD 722
671 continuum in multiple cohorts with high overall 723
672 accuracy. Second, it confirms results of our earlier 724
673 studies using a different platform (i.e., human pro- 725
674 tein microarrays) to accurately detect prodromal and 726
675 mild-moderate AD in well-characterized ADNI par- 727
676 ticipants, and does so with high overall accuracy, 728
677 sensitivity, and specificity [38, 40, 42]. Third, for the 729
678 first time, it provides strong data supporting the utility 730
679 of this approach for detecting the presence of ongoing 731
680 early AD-related pathology at the pre-symptomatic 732
681 stage. Fourth, this approach is a multi-disease diag- 733
682 nostic strategy, as shown in our previous studies 734
683 describing the use of specific sets of aABs to detect 735
684 and diagnose early and moderate PD, multiple scle- 736
685 rosis, and first-episode psychosis [36, 39, 41, 60]. 737
686 Fifth, unlike many proteins and lipids, IgG aABs 738
687 are particularly stable in the blood, thus ensuring 739
688 that their production and detection will be largely 740
689 independent of circadian as well as non-circadian 741
690 day-to-day variations or a short half-life in the blood. 742
691 Sixth, there were no noticeable cohort-linked dif- 743
692 ferences in biomarker performance, suggesting that 744
693 protocol variations in blood collection, storage and 745
694 shipment did not appreciably affect measurements 746
695 of IgG aAB biomarker levels in serum samples, 747
696 a requisite feature for widespread use under real- 748
697 world conditions. Lastly, we have shown that the use 749
698 of aABs as biomarkers is not platform-specific; we 750
699 were able to successfully migrate our aAB biomarker 751
700 technology from human protein microarrays to a 752
701 Luminex magnetic bead-based platform while retain- 753
702 ing comparable performance. The latter is more 754
703 practical, cost-effective, less technically demand- 755
704 ing, more automatable and has greater potential for 756
705 widespread use, including in rural and economically 757
706 disadvantaged regions. 758

707 This study also has some weaknesses. First, it is 759
708 important to note that the data presented here are 760
709 limited to this group of 328 subjects from multi- 761
710 ple cohorts, and the overall racial diversity in these 762
711 cohorts was low. Second, due to the progressive 763
712 nature of AD-related pathology, which can be under- 764
713 way a decade or more before symptoms emerge, it 765

714 is difficult to find age-matched control samples that 715
716 are truly cognitively normal and also free of AD- 717
718 related pathology. To minimize the strong possibility 719
720 that a significant fraction of age-matched controls 721
722 have variable degrees of ongoing early AD-related 723
724 pathology that is not yet sufficient to elicit expression 725
726 of symptoms, we chose to use a control population 727
728 that was roughly twenty years younger than our dis- 729
730 ease population. Although having such an age gap 731
732 could potentially introduce bias, we demonstrated 733
734 that using a subset of more closely age-matched 735
736 Testing Set samples (only five years apart) did not 737
738 significantly affect sensitivity, specificity, and over- 739
740 all accuracy of our diagnostic model. In a previous 741
742 study on early-stage PD, we described the use of 743
744 a subset of younger control subjects in which the 745
746 presence and prevalence of neuropathology is consid- 747
748 erably reduced as a compensatory mechanism for the 748
749 long pre-symptomatic phase of the disease [36]. Since 749
750 some members of our biomarker panel were derived 751
752 from analysis of serum samples from MCI patients 753
754 with low CSF A β_{42} levels, inclusion of younger 754
755 control subjects with presumably normal CSF A β_{42} 755
756 levels emphasizes what an aAB profile from an indi- 756
757 vidual lacking AD-related pathology should look 757
758 like. Third, outside of the ADNI cohort, the memory 758
759 clinic and various control cohorts used here did not 759
760 have measurements of CSF A β_{42} levels to confirm 760
761 or refute the presence of early AD-related pathol- 761
762 ogy involving brain amyloidosis, although this fact 762
763 makes this a good “field study” for the real-world 763
764 situation. Lastly, we did not test the efficacy of the 764
765 AD biomarker panel for use in distinguishing patients 765
766 with MCI due to AD from others with MCI due to 766
767 other causes such as cerebrovascular disease, drug 767
768 side-effects, depression, excessive alcohol use, poor 768
769 vascular perfusion of the brain, and neurodegenera- 769
770 tion unrelated to AD. Additional studies are currently 770
771 planned to determine the utility of our biomarkers 771
772 in distinguishing subjects with MCI due AD from 772
773 subjects with MCI due to other causes. 773

774 In conclusion, the Luminex magnetic bead-based 775
776 analytical platform described here can accurately 776
777 identify the presence of early AD-related pathology 777
778 in individuals with pre-symptomatic, prodromal, and 778
779 mild-moderate AD based on detection of disease- 779
780 associated IgG aAB biomarkers in a single blood 780
781 sample. Addition of age as a covariate to our model 781
782 employing aABs contributed to the excellent per- 782
783 formance of this blood test. The development of a 783
784 relatively noninvasive, accurate blood test for use in 784
785 early detection of AD-related pathological processes 785

766 at pre-symptomatic, prodromal, and mild-moderate
 767 stages is a significant advancement in the field given
 768 that aAB biomarkers: 1) can reliably distinguish
 769 individuals with normal versus abnormal cognitive
 770 function and predict clinical decline even in those
 771 who are asymptomatic at baseline; 2) are mini-
 772 mally invasive, inexpensive, and usable in frontline
 773 or community primary care settings for screening a
 774 general population; and 3) could serve as a surrogate
 775 measure for predicting outcomes in AD and AD-
 776 related dementia treatment trials. It may enable more
 777 informed determinations of which patients in the
 778 primary care settings should undergo further, more
 779 extensive neuropsychological evaluations and more
 780 invasive and costly neuroimaging (MRI and PET) and
 781 CSF diagnostic procedures. This would be of great
 782 benefit to patients and clinical practice since early
 783 treatment has the greatest potential to bend the curves
 784 on clinical outcomes. The ability to detect AD-related
 785 pathology at earlier pre-symptomatic and prodromal
 786 (MCI) stages will allow participants to be enrolled
 787 earlier in targeted clinical trials, and hopefully greatly
 788 facilitate monitoring of AD progression, including in
 789 those under treatment.

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837 CONFLICT OF INTEREST

838 CAD, JV, GG, and AS are full-time employees at
 839 Durin Technologies Inc. BB is a full-time employee
 840 and serves on the Durin Technologies Inc. Board
 841 of Directors. UT and RGN are paid consultants to
 842 Durin Technologies Inc. RGN is an inventor on sev-
 843 eral patents involving blood-based autoantibodies for
 844 the diagnosis and monitoring of Alzheimer's disease,
 845 licensed by Durin Technologies, Inc. RGN also serves
 846 on the Board of Directors and holds stock in Durin
 847 Technologies Inc. DJL is an Editorial Board Member
 848 of this journal but was not involved in the peer-review
 849 process nor had access to any information regard-
 850 ing its peer-review. He also receives royalties from
 851 Oxford University Linus Health.

852 DATA AVAILABILITY

853 The data supporting the findings of this study are
 854 not publicly available due to privacy restrictions.

855 SUPPLEMENTARY MATERIAL

856 The supplementary material is available in
 857 the electronic version of this article: [http://](http://dx.doi.org/10.3233/JAD-221091)
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